

Safe Harbor / QDIA Employee Acknowledgement Form

Name _____

Company _____

I hereby acknowledge receipt of my personal copy of the Safe Harbor Notice and QDIA Notice for the 401(k) Plan.

I accept the responsibility for reading these notices.

I, _____, hereby state that I have received a copy of the SH Notice and QDIA Form. I acknowledge that I have read and understand these forms.

Signature _____ Date _____

Employees have the option of receiving these forms in electronic format or hard copy. Please indicate your choice by checking the appropriate box below:

I choose to receive the forms in electronic format.

I choose to receive a hard copy of these forms which are available from the employer.